

December 14, 2016

Mr. Scott S. Dahl
Inspector General
Department of Labor
Office of Inspector General
200 Constitution Avenue, NW
Room S-5502
Washington, DC 20210

Subject: *Report on the Quality Assessment Review of the Investigative Operations of the Office of Inspector General for the Department of Labor*

Dear Mr. Dahl:

We have reviewed the system of internal safeguards and management procedures for the investigative function of the Department of Labor Office of Inspector General (DOL OIG). Our review was conducted in conformity with the Quality Standards for Investigations and the Quality Assessment Review guidelines established by the Council of the Inspectors General on Integrity and Efficiency (CIGIE) and the Attorney General's Guidelines for Office of Inspectors General with Statutory Law Enforcement Authority, as applicable.

We reviewed compliance with the DOL OIG system of internal policies and procedures to the extent we considered appropriate. The review was conducted at the headquarters office in Washington, DC, and three regional office locations in Washington, DC (Washington, DC Regional Office), West Covina, California (Los Angeles Regional Office), and Philadelphia, Pennsylvania (Philadelphia Regional Office). Additionally, we sampled 30 case files for investigations closed during the previous 12-month period ending on July 1, 2016. We further sampled 20 Digital Forensics investigations closed during the same period.

In performing our review, we have given consideration to the prerequisites of Section 6(e) of the Inspector General Act of 1978 (as amended) and Section 812 of the Homeland Security Act of 2002 (Pub.L. 107-296). Those documents authorize law enforcement powers for eligible personnel of each of the various offices of presidentially appointed Inspectors General. Those powers may be exercised only for activities authorized by the Inspector General Act of 1978, other statutes, or as expressly authorized by the Attorney General.

In our opinion, the system of internal safeguards and management procedures for the investigative function of the DOL OIG in effect for the period ending July 1, 2016, is in compliance with the quality standards established by the CIGIE and the Attorney General guidelines. These safeguards and procedures provide reasonable assurance of conforming with professional standards in the planning, execution and reporting of its investigations.

Sincerely,



Gale Stallworth Stone
Acting Inspector General

Attachment 1: Listing of Offices Visited

Offices Included in the Assessment

Headquarters (Washington, DC)

Washington, DC Regional Office (Washington, DC)

Los Angeles Regional Office (West Covina, CA)

Philadelphia Regional Office (Philadelphia, PA)

Attachment 2: List of Sampled Closed Investigative Files Reviewed

Regional Office	Case Number
WASHINGTON, DC	11-703I-0025-LC-J
WASHINGTON, DC	11-903N-0001-LC-J
NEW YORK, NY	20-701A-0001-LC-J
NEW YORK, NY	20-703C-0074-LC
NEW YORK, NY	21-903C-0020-LC
PHILADELPHIA, PA	30-703C-0033-LC-J
PHILADELPHIA, PA	30-703I-0025-LC-J
ATLANTA, GA	41-702I-0002-LC-J
ATLANTA, GA	42-703I-0001-LC
CHICAGO, IL	54-703I-0004-LC-J
CHICAGO, IL	54-803C-0006-LC-J
CHICAGO, IL	55-803M-0001-LC
CHICAGO, IL	55-903C-0013-LC
LOS ANGELES, CA	91-803C-0009-LC-J
NEW YORK, NY	20-3939-0045-PC-J
NEW YORK, NY	20-3939-0050-PC-J
NEW YORK, NY	25-3940-0003-PC
ATLANTA, GA	40-0460-0747-PC-J
ATLANTA, GA	40-0460-0750-PC
ATLANTA, GA	43-1241-0006-PC
DALLAS, TX	60-05X3-0011-PC

WASHINGTON, DC	11-0460-0207-PC-J
WASHINGTON, DC	11-0462-0020-PC
WASHINGTON, DC	11-3939-0026-PC-J
PHILADELPHIA, PA	30-3910-0006-PC-J
PHILADELPHIA, PA	30-3939-0049-PC-J
PHILADELPHIA, PA	30-3957-0288-PC
LOS ANGELES, CA	91-0401-0004-PC-J
LOS ANGELES, CA	91-0459-0002-PC-J
LOS ANGELES, CA	91-0460-0080-PC-J

Attachment 3: Listing of Interviews

Location	Number of People Interviewed
Headquarters	4
Washington, DC Office	10
Los Angeles, CA Office	8
Philadelphia, PA	6